



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 7th December, 2016

TIME: 3.00 pm

VENUE: Town Hall, Bootle

Member

Councillor
Councillor Brennan (Chair)
Councillor Roche (Vice-Chair)
Councillor Ashton
Councillor Bennett
Councillor Bliss
Councillor Kevin Cluskey
Councillor McGinnity
Councillor Moncur
Councillor Sayers
Councillor Shaw

Substitute

Councillor
Councillor McKinley
Councillor Roscoe
Councillor Daniel Lewis
Councillor McCann
Councillor Dutton
Councillor Bradshaw
Councillor Robinson
Councillor Linda Cluskey
Councillor Dams
Councillor Hands

COMMITTEE OFFICER: Debbie Campbell
Senior Democratic Services Officer
Telephone: 0151 934 2254
Fax: 0151 934 2034
E-mail: debbie.campbell@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

This page is intentionally left blank.

A G E N D A

1. **Apologies for absence**
2. **Declarations of Interest**

Members are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda, in accordance with the Members Code of Conduct.
3. **Minutes** (Pages 5 - 12)

Minutes of the meeting held on 21 September 2016.
4. a) **Statement of Accounts 2015/16** (Pages 13 - 16)

Report of the Head of Corporate Resources.
4. b) **Annual Audit Letter 2015/16** (Pages 17 - 44)

Report of the Council's External Auditors – Ernst and Young LLP.
5. **Review of Procurement Processes, Rules and Guidance** (Pages 45 - 112)

Report of the Head of Commissioning Support and Business Intelligence.
6. **Local Government Audit Committee Briefing** (Pages 113 - 122)

Report of the Council's External Auditors – Ernst and Young LLP.
7. **Vexatious or Unfocused Complaints and Data Requests** (Pages 123 - 140)

Report of the Head of Regulation and Compliance.
8. **CCTV Policy Statement** (Pages 141 - 158)

Report of the Head of Regulation and Compliance.
9. **Risk and Audit Service Performance Report** (Pages 159 - 194)

Report of the Head of Corporate Resources.
10. **Corporate Risk Management** (Pages 195 - 232)

Report of the Head of Corporate Resources.

11. **Treasury Management Update 2016/17 - Position to October 2016** (Pages 233 - 242)

Report of the Head of Corporate Resources.

12. **Exclusion of Press and Public**

The following report is **not** Exempt/Confidential, but includes appendices which contain exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A under Section 100A(4) of the Local Government Act 1972. Namely,

- Item 13 – Appendices 1, 2 and 3.

Members are therefore requested to indicate whether or not they wish to discuss any matters referred to in the exempt appendices and accordingly, consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for any items of business which might involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.

13. **Revenue Service - Write off of Irrecoverable Debts with Balances over £10,000** (Pages 243 - 262)

Report of the Head of Corporate Resources.